

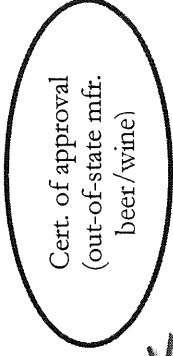
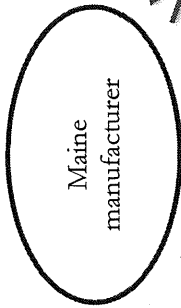
REVISED for 10/22/19  
Subcommittee Mtg.

### Appendix D: Three-Tier System: Prohibited Financial Interests

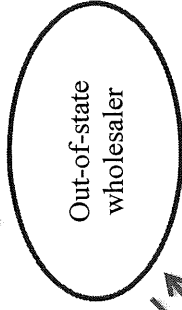
28-A M.R.S. §707 & §1363

(ST 72) (ST 151)

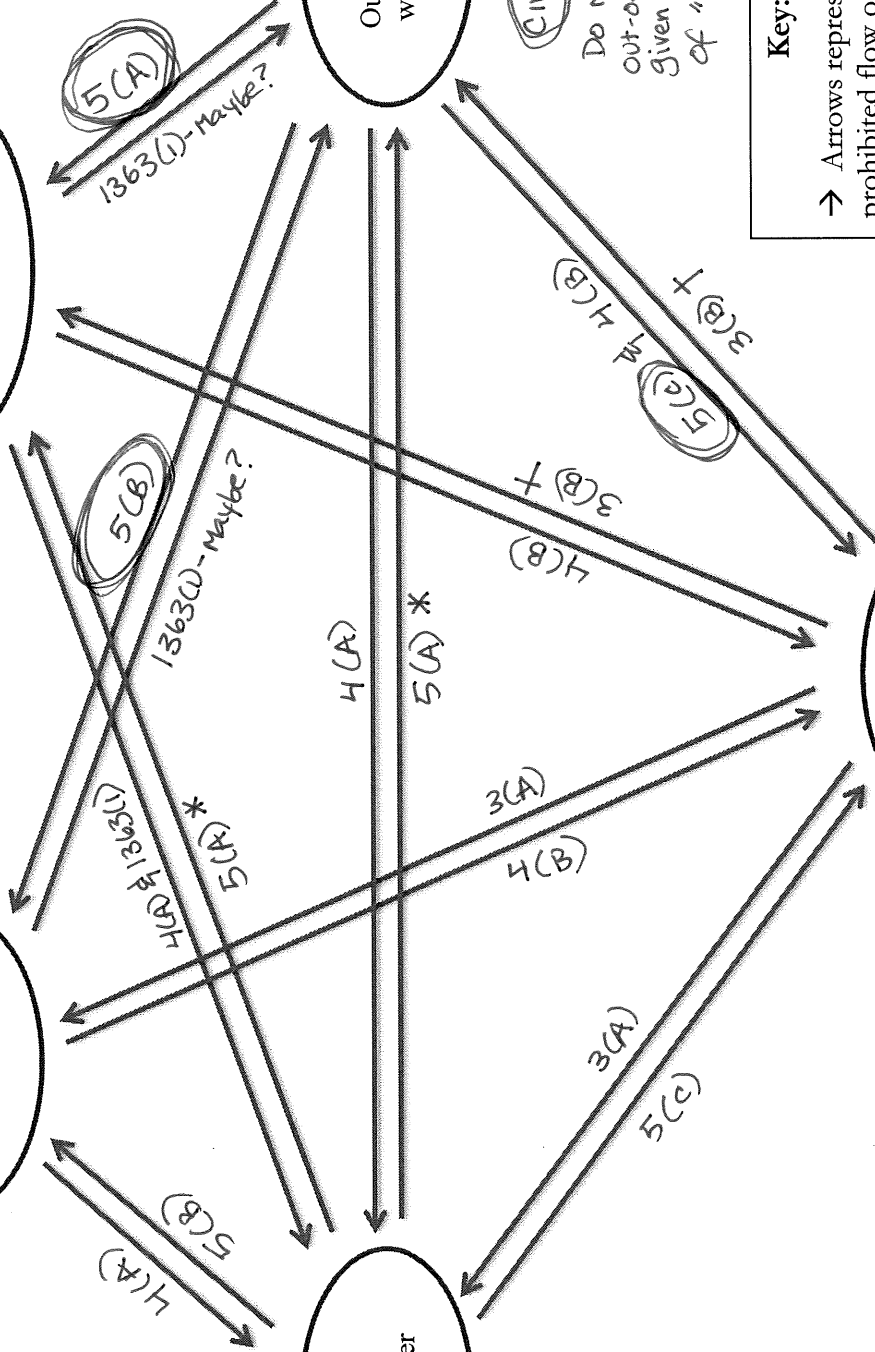
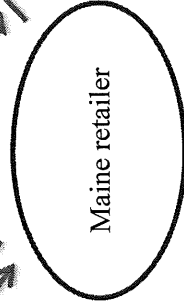
#### Tier I: Manufacturers



#### Tier 2: Wholesalers



#### Tier 3: On-premises and off-premises retailers



**Key:**  
→ Arrows represent the prohibited flow of financial interests.  
Citations are to subsections of §707 unless otherwise noted.

\* Wholesalers are only prohibited from having financial interests in out-of-state manufacturers or out-of-state wholesalers of malt liquor (not wine or spirits)  
† Maine retailers are only prohibited from having financial interests in out-of-state manufacturers or out-of-state wholesalers of malt liquor or wine (not spirits)  
*Office of Policy and Legal Analysis (Revised Oct. 2019)*